

CERTIFICATE OF EFS FILING UNDER 37 CFR §1.8

I hereby certify that this correspondence is being electronically transmitted to the United States Patent and Trademark Office, Commissioner for Patents, via the EFS pursuant to 37 CFR §1.8 on the below date:

Date: May 28, 2009

Name: Ryan Gleitz, Reg. No. 62,164

Signature: 

BRINKS  
HOFER  
GILSON  
& LIONE

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Appln. of: James Farrell, et al.

Appln. No.: 10/713,126

Filed: November 14, 2003

For: System And Method For Controlling  
Markets During A Stop Loss Trigger

Attorney Docket No.: 4672/310

Examiner: Weis, Samuel

Art Unit: 3695

Conf. No.: 9585

TRANSMITTAL

Mail Stop Amendment  
Commissioner for Patents  
PO Box 1450  
Alexandria, VA 22313-1450

Sir:

Attached is/are:

☒ Transmittal/Fee Transmittal (1 pg.); Power of Attorney Form PTO/SB/81 (1 pg.).

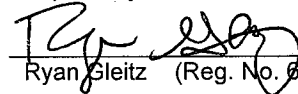
Fee calculation:

- ☐ No additional fee is required.
- ☐ Small Entity.
- ☐ An extension fee in an amount of \$\_\_\_\_\_ for a \_\_\_\_\_-month extension of time under 37 CFR § 1.136(a).
- ☐ A petition or processing fee in an amount of \$\_\_\_\_\_ under 37 CFR § 1.17(\_\_\_\_\_).
- ☐ An additional filing fee has been calculated as shown below:

Fee payment:

- ☐ Please charge Deposit Account No. 23-1925 in the amount of \$\_\_\_\_\_ for \_\_\_\_\_.
- ☐ Payment by credit card in the amount of \$\_\_\_\_\_ (Form PTO-2038 is attached).
- ☒ The Director is hereby authorized to charge payment of any additional filing fees required under 37 CFR § 1.16 and any patent application processing fees under 37 CFR § 1.17 associated with this paper (including any extension fee required to ensure that this paper is timely filed), or to credit any overpayment, to Deposit Account No. 23-1925.

Respectfully submitted,

  
Ryan Gleitz (Reg. No. 62,164)

May 28, 2009

Date